



Role Profile

Dealing Manager

Location

Gloucester, GL3 4AH

Salary

£50,000-£70,000

Contract Type

Permanent / Full-Time

Blackfinch Group is an award-winning investment specialist. Built on over 20 years of investment track record, the name Blackfinch first came into being on the anniversary of Darwin's birth, 12th February 2013. A trusted provider, we work in partnership with advisers. Our businesses cover tax-efficient solutions, early stage investing, managed portfolio services, property financing and renewable energy. As an environmental, social and governance (ESG) investor, we work for a positive impact. We're proud to be entrusted with over £700 million in assets under management.



Purpose of the Role

Blackfinch offers a diverse range of investment products that invest in listed investments, unlisted investments and real assets.

The Dealing Manager will be responsible for overseeing and managing dealing across the full range of investments where Blackfinch Group are custodian, to ensure a high level of service for its customers whilst driving innovation, efficiencies and quality execution.

Key Accountabilities

- Fulfil daily, monthly and quarterly dealing responsibilities across listed and unlisted investments, including deal allocation and post dealing checks. Ensure accurate and timely execution.
- Trading of AIM listed equities, including creation of trading/rebalancing sheets, actioning the required trades ensuring best price across the available market is sought and performing post dealing checks
- Manage AIM portfolios on various external investment platforms. This will include troubleshooting any discrepancies following an investment/rebalance
- Ensure all equity and asset trades are managed in line with Blackfinch's dealing policy, ensuring best execution standards are adhered to for clients
- Analysis of dealing processes. Lead on simplification and requirements collation to enable automation of dealing processes. Lead and sign-off testing on any new changes to ensure dealing integrity
- Partner with investment teams to continually improve and enhance dealing models/ processes, ensuring any dealing fees and agreed exceptions can be supported with documented processes, controls and where possible automation
- Evolve Blackfinch's Dealing policy to meet the emerging strategic needs of the business
- Produce monthly Dealing metrics to ensure SLAs are being met and continually optimise dealing processes
- Supervision and development of Dealer within the team
- Ensure compliance with FCA dealing standards
- Develop and maintain risk matrix to control Dealing risk
- Liaising with third parties, providing support on any dealing related tasks
- Be the first point of contact for all dealing related queries from stakeholders across the business

Skills & Experience

- Processing and signing off applications for a range of investment products
- Ensure appropriate dealing checks and controls are in place to minimise dealing errors

- Strong numerical and analytical skills with extensive excel experience and the ability to adapt easily to new systems
- A degree or similar qualification in a numerate subject
- Have experience of building and maintaining dealing/financial models
- Good attention to detail, with a skill in solving complex problems and producing accurate information without extensive support from others
- Experience of financial services securities dealing, ideally equities, in live markets

Preferred

- Have good knowledge and understanding of listed and unlisted investment products including AIM, IHT, EIS and VCT
- Experience of the following applications/platforms or similar, is an advantage: FIGARO; Power BI, Salesforce, Transact, Fidelity, Standard Life.
- Hold a current Statement of Professional Standing from an accredited body e.g. IMC 1

If you believe you have the skills and experience for this role, we'd be interested to hear from you.

Apply by sending your CV and any other supporting documents to **HR@blackfinch.co.uk**.

If you require any further information about this role, we're here to help, contact us on **01452 717070**.

Our Values

At Blackfinch we're working to make a difference in the world. We partner with advisers to meet client and business aims. Inspired by the work of Charles Darwin, we're named after one of Darwin's finches, which influenced his theories of evolution.

Our values of 'adapt', 'evolve' and 'thrive' run through everything we do. We continually adapt to changing markets, providing evolved products so that our customers can thrive. These values inform our work as an ESG investor, working towards a more sustainable future.



Offering a supportive environment, championing continuing professional development and sponsoring staff for qualifications.



One of the friendliest businesses in financial services, supporting our people, customers and investee companies.



Providing opportunities to progress plus to take up benefits, and to get involved in sponsorship and charity work
